



## Daniel C. Fishbein

### Associate

Daniel Fishbein practices in the Financial Services Litigation, Regulation and Enforcement and White Collar & Internal Investigations groups. He represents corporations and individuals in criminal proceedings, enforcement actions and internal investigations. Daniel brings the breadth of his white collar defense experience to his matters and applies his vantage point to representing financial service providers and fintech companies that have come under increased scrutiny by state and federal regulators.

Daniel has represented clients in a range of industries and subjects involving bank fraud, the Report of Foreign Bank and Financial Accounts (FBAR), Residential Mortgage-Backed Securities (RMBS) fraud, insider trading, Unfair, Deceptive, or Abusive Acts or Practices (UDAAPs), the Foreign Corrupt Practices Act (FCPA), the Foreign Agents Registration Act (FARA), healthcare fraud and the Food, Drug and Cosmetic Act (FDCA). He has successfully defended his clients before the U.S. Department of Justice (DOJ), the U.S. Securities and Exchange Commission (SEC), the Consumer Financial Protection Bureau (CFPB), states attorneys general and other government agencies.

Daniel's individual client representations have included the former CEO of a publicly traded pharmaceutical company as a key witness in an insider-trading trial, a former editor-in-chief of a national media company in both federal and state criminal proceedings and a hedge fund executive in a wide ranging criminal investigation by the U.S. Attorney's Office for the Eastern District of New York.

Daniel's company representations have included a consumer lender in an enforcement action by the CFPB, a lease-to-own business in an investigation by the New York State Attorney General (NYAG), a non-bank lender in an investigation by the New Jersey Attorney General (NJAG) and a pharmaceutical company in investigation by the SEC for reporting violations.

Daniel also has a meaningful pro bono practice. He has represented a criminal defendant in the Southern District of New York, represented an advocacy organization as amicus curiae in a U visa nonimmigration status proceeding and achieved a favorable outcome for a victim in an excessive use of force case.

Before joining Stroock, Daniel served as a law clerk to the Hon. Dora L. Irizarry in the Eastern District of New York and the Hon. Theresa L. Springmann in the Northern District of Indiana. During law school, Daniel participated in Northwestern's Supreme Court Practicum, where he worked on *Kingsley v. Hendrickson*, 576 U.S. 389 (2015), a landmark excessive use of force case involving pre-trial detention.

#### New York

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#### Related Services

- Consumer Financial Protection Bureau
- Financial Services Litigation, Regulation and Enforcement
- Litigation
- Regulatory Compliance and Enforcement Actions
- Securities and Commodities
- White Collar & Internal Investigations

#### Education

- J.D., cum laude, Northwestern University Pritzker School of Law, 2015
- B.A., Government and Politics, University of Maryland, College Park, 2011
- B.A., Economics, University of Maryland, College Park, 2011

**Clerkships**

- Honorable Dora L. Irizarry, U.S. District Court for the Eastern District of New York
- Honorable Theresa L. Springmann, U.S. District Court for the Northern District of Indiana

**Admitted To Practice**

- New York
- Illinois

**Court Admissions**

- U.S. Supreme Court
- U.S. Court of Appeals for the Second Circuit
- U.S. Court of Appeals for the Seventh Circuit
- U.S. District Court for the Northern District of Illinois
- U.S. District Court for the Northern District of Indiana
- U.S. District Court for the Southern District of Indiana
- U.S. District Court for the Eastern District of New York
- U.S. District Court for the Southern District of New York

**Memberships & Honors**

- Member, Federal Bar Council
  - Member, Inn of the Court
  - Member, First Decade Committee
- Honors
  - *Super Lawyers* 2021 – 22, New York Rising Star for White Collar Criminal Defense